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Differentiation Effect of Two Alkaloid Fractions from Vietnamese Lycopodiaceae on Mouse Neural Stem Cells

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ABSTRACT

Various Lycopodium alkaloids have been studied for their various biological activities including anti-inflammatory, antioxidant, immunomodulatory, and neuroprotective activities. Moreover, these alkaloid compounds have high potential in the treatment of neuron degenerative disease. This study has been carried out to test the effect of *Huperzia serrata* (Thunb.) Trevis, and *Lycopodium clavatum* L alkaloid fractions on the mouse neural stem cells (NSCs). Firstly, the alkaloid fractions were used to verify its toxicity on NSCs. The multiple concentrations of alkaloid fractions from *H. serrata* (0.044; 0.088; 0.175; 0.35; 0.7; 1.4 mg/ml) and *L. clavatum* (0.031; 0.063; 0.125; 0.25; 0.50; 1.0; 2.0 mg/ml) have been used for the treatment of NSCs at period of 48h incubation. Results of the study suggested that the IC₅₀ value of *H. serrata* and *L. clavatum* was 0.56 mg/ml and 0.50 mg/ml, respectively. Then, the NSCs were differentiated in the presence of 5 and 10 µg/ml of alkaloid fraction from *H. serrata*; 0.625 and 1.25 µg/ml of alkaloid fraction from *L. clavatum* for 6 days. Here, we observed the primary NSCs treated with alkaloid fraction extract from *H. serrata* showed the increased gene expression level of early neuron *TUBB3* and neuron-specific cytoskeleton *MAP2*. On the other hand, the *L. clavatum* alkaloid fraction increased the expression of neural stem cell marker genes (*Nestin* and *PAX6*) and decreased neuron marker genes. In conclusion, these results established that alkaloid fraction from *H. serrata* promoted differentiation of the mouse NSCs to neuron cells, and *L. clavatum* extract had a capacity for stemness maintenance.

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1 Introduction

Neural stem cells (NSCs), located in the central nervous system, are multipotent cells that can self-renew, proliferate and differentiate into neurons and glial cells (Fujita 2003; Noctor et al. 2001; Takouda et al. 2017). NSCs are present not only in the developing brain but also in specific areas such as the subventricular zone (SVZ) and hippocampus of the adult brain (Temple 2001; Gage 2000). Recent studies indicate that NSCs can be activated after neuronal injury and migrate to the injured sites to replace the lost neurons (Nakatomi et al. 2002; Russo et al. 2011). NSCs can be cultured as neurospheres and later on develop into an *in vitro* neural network. Primary NSCs culture provides an *in vitro* model to identify drugs that can protect NSCs or increase neurogenesis from NSCs. This raised the potential for the treatment of neural damage and neurodegenerative diseases (Gage 2000).

The Lycopodiaceae plants belong to club moss, mainly native to tropical mountains characterized by low evergreen herbs with needle-like or scale-like leaves. The Lycopodiaceae has been widely known as the traditional herbal medicine for a long time to treat medical ailments such as swelling, rheumatic fever, myasthenia gravis, schizophrenia (Ma and Gang 2004). These plants are rich in alkaloids that have high potential bioactivity properties with a range of applications in therapy and research. The lycopodium alkaloids have a unique structure of heterocyclic skeletons such as C16N1, C16N2, and C27N3. Until now, more than 400 lycopodium alkaloids have been described (Kitajima and Takayama 2011; Siengalewicz et al. 2013). The Lycopodium alkaloids have been shown various biological activities such as anticancer, antiviral, anti-inflammatory, hepatoprotective, and immunomodulatory activities. Recently, these alkaloids have been used to treat an aneurysm, chronic lung, and bronchial diseases also. Further, most of the alkaloids derived from Lycopodium were demonstrated their potential effects on neuron diseases (Ayer 1991; Zangara 2003; Kitajima and Takayama 2011; Siengalewicz et al. 2013). Huperzine A was extracted from *H. serrata* (Thunb.) Trevis and used as a folk medicine in the treatment of inflammation, swelling, and fever. The scientific evidence showed that these compounds also have other pharmacological activities such as anti-inflammation, antioxidation, protection of cellular organelles from some neurotoxic, and regulation of nerve growth factor (NGF) (Wang and Tang 2007; Zhang et al. 2008; Wang et al. 2011). Huperzine A is a potent, specific, selective, and reversible inhibitor of acetylcholinesterase (AChE) (Friedli and Inestrosa 2021). This compound also has some effects on the learning and memory of animals. Li et al. (2021) reported the clinical effect of Huperzine A on elderly patients with vascular dementia. The result indicated that the co-treatment of Huperzine A and hyperbaric oxygen had a significant effect on cognitive function and serum hypoxia-inducible factor-1 α level (Li et al.

2021). Using the meta-analysis of randomized clinical trials on Alzheimer's disease patients indicated that Huperzine A seemed to have significant effects on cognitive as well as activities of daily living, and improved global clinical assessment (Yang et al. 2013). *L. clavatum* is a rich source of alkaloids and triterpenoids. Recently, Dymek et al. (2021) developed a technique to extract *L. clavatum*, *L. annotinum*, and *H. selago* active ingredients by using the pressurized liquid extraction method. These methanolic extracts showed bioactivity as anti-AChE (Dymek et al. 2021). Three new triterpenoids of *L. clavatum* were isolated from the methanol extract via combined chromatographic separation techniques. The primary bioactivities screening showed that these new triterpenoids had a cytotoxic and anti-inflammation effect (Giang et al. 2022). *In vivo* experiment in the rat model of Parkinson's disease, lycopodium extracts also reduced the loss of dopaminergic neurons by suppressing oxidative stress and neuron inflammation (Jayaraj 2019).

In this study, two lycopodium alkaloid extracts from Vietnam were used to check the effect on the differentiation stage of primary NSCs. The data demonstrated the maintain NSCs and activating the differentiation of NSC. These results highlight the scientific evidence of these lycopodium alkaloids in application to the treatment of neuron degenerative disease.

2 Materials and Methods

2.1 Plant Materials

The whole dried plants of *H. serrata* and *L. clavatum* were collected from mountain regions of Vietnam. The plants were identified by the trained taxonomist. After their identification, the voucher specimens were deposited at the Museum of Biology, Faculty of Biology, University of Science, Vietnam National University, Hanoi, (accession number HNU 024659 for *L. clavatum* and HNU 024660 for *H. Serrata*).

Further, 80 g of whole plant powder was extracted with MeOH and 0.5% NaOH, sonicated for 10 minutes, and refluxed three times. The crude extracts of *H. serrata* (18.39g) and *L. clavatum* (18.69g) were dried under reduced pressure and resuspended in 200 ml of 1N HCl and then partitioned with EtOAc (ratio 1:1) (Chuong et al. 2014). The final concentration of alkaloid fractions of *H. serrata* and *L. clavatum* was 6.61g and 5.19g, respectively. The alkaloid fractions were dissolved in DMSO for further study.

2.2 Primary NSCs culture and differentiation condition

The primary neuronal stem cells were kindly received from Animal Cell Laboratory, Center for Life Science Research (CELIFE), University of Science, Vietnam National University, Hanoi. The cells were maintained on neuropan basal medium

Table 1 List of primers sequences

Primers	Sequences
<i>Nestin</i>	F: 5'- GGTGGGCAGCAACTGGC -3'
	R: 5'- CAGCTTGGGGTCAGGAAAGCC -3'
<i>Pax 6</i>	F: 5'- CTGGAGAAAGAGTTTGAGAGG -3'
	R: 5'- CTG CTGCTG ATA GGAATGTG -3'
<i>MAP2</i>	F: 5'- AAGTCACTGATG GAATAAGC -3'
	R: 5'- CTCTGCGAATTG GTTCTG -3'
<i>TUBB3</i>	F: 5'- GCCTCCTCTCACAAAGTATG -3'
	R: 5'- CCTCCGTATAGTGCCCTT -3'
<i>GAPDH</i>	F: 5'- GTGGCAAAGTGGAGATTGTTGCC -3'
	R: 5'- GATGATGACCCTTTGGCTCC -3'

(PAN-biotech) supplemented with 2% B27 (without retinoic acid), 10 ng/ml bFGFv α , 10 ng/ml EGF, and 1% antibiotic mixture (50 U/ml penicillin, 50 μ g/ml streptomycin, >1 ng/ml amphotericin B). For differentiation reduction, neurospheres were harvested on day 6 and then transferred to plates with poly-D-lysine (PDL)-coated surface containing 1% fetal bovine serum (FBS), neuropan basal medium, supplemented with mixture antibiotic and without the growth factors. All cells were culture at 37°C in a humidified incubator with 5% CO $_2$ (Zhu et al. 2019).

2.3 Lycopodium alkaloid fraction treatment conditions

Lycopodium alkaloid fractions were dissolved in DMSO and diluted in a growth medium to make the final 1% concentration of DMSO. For control experiments, the same volume of DMSO was added. The cytotoxic activities of isolated alkaloids were evaluated at different concentrations and determined by the Promega Cell Titer-Glo $\text{\textcircled{R}}$ Luminescent Cell Viability Assay kit. Primary NSCs were cultured in 96 wells of opaque black culture disk (SPL 31496). The plant extracts were added 0.044; 0.088; 0.175; 0.35; 0.7; 1.4 (mg/ml) for *H. serrata*; 0.031; 0.063; 0.125; 0.25; 0.5; 1.0; 2.0 (mg/ml) for *L. clavatum* for 48h (Ma et al. 2013). The measure of the cell viability was followed by the instruction. Briefly, after 48 hours of treatment, the medium was replaced by CellTiter-Glo Luminescent solution, then the cells were lysis by shaker at 500 rpm in 10 minutes. The disks were rested at room temperature for 5 - 10 minutes and read the luminescent signal at 535 nm by a multi-plate reader (Berthold Tristar LB 942). Cell viability curves were drawn, and IC $_{50}$ was calculated using GraphPad Prism software version 8. To test the effect of alkaloid fractions in the differentiation of the primary NSCs, 5 and 10 μ g/ml of *H. serrata* extract; 0,625 and 1,25 μ g/ml of *L. clavatum* extract were added in the differentiation medium. The morphology was observed on day 2 and day 6. The images were captured by phase-contrast microscopy.

2.4 RNA extraction and quantitative Reverse-Transcription polymerase chain reaction (qRT-PCR)

Total RNAs were extracted using the RNeasy Mini Kit (Qiagen, 74104), and cDNAs were generated by reverse transcription using the qScript cDNA (Quantabio, 95047). qRT-PCR was performed using 2 μ l of cDNA in 25 μ l GoTaq $\text{\textcircled{R}}$ qPCR Master Mix (Promega). The mouse gene-specific primers were synthesized and used in the quantification of transcripts are shown in table 1.

Mouse *GAPDH* was used as an internal control. Real-time PCR reactions were carried out using the Applied Biosystems 7500 Real-Time PCR System. Results are expressed as fold induction in mRNA levels as calculated by the $\Delta\Delta$ CT method (Livak and Schmittgen 2001).

2.5 Statistical analysis

Statistical analysis was performed using the paired t-tests for in vitro data and the nonparametric Mann-Whitney test for in vivo data with GraphPad Prism version 8.0 software (GraphPad Software). Results are presented as means \pm SEM (standard error of the mean). Data with a P value less than 0.05 were considered significant.

3 Results and Discussion

3.1 Effect of alkaloid fractions on the morphology of neural stem cells

In the current study, the primary mouse neural stem cells (NSCs) were received from Animal Cell Laboratory, CELIFE, VNU University of Science, Hanoi. To confirm the stemness of cultured cells, semi-quantify RT-PCR was performed to check the expression of neural progenitor/stem cells marker genes. As shown in Figure 1A, the neurospheres were formed, and the high

expression of *Nestin* and *PAX6* was detected but not for other marker genes for differentiation. This result indicated that these cells had the neural stem cell status.

Cytotoxicity of two alkaloid fractions of *H. serrata* and *L. clavatum* was calculated as the percentage of cell viability by using CellTiter-Glo® assay. The multiple concentrations of alkaloid fractions from *H. serrata* (0.044; 0.088; 0.175; 0.35; 0.7; 1.4 mg/ml) and *L. clavatum* (0.031; 0.063; 0.125; 0.25; 0.50; 1.0; 2.0

mg/ml) were used to treat NSCs at period of 48h incubation (Figure 2). Cell viability analyses designated that alkaloid fractions caused development inhibition of NSCs in dose-dependent manners. At low concentrations, both *H. serrata* and *L. clavatum* extracts had little effect on cell viability. Further, the concentration level of 0.35 mg/ml of *H. serrata* and 0.25 mg/ml of *L. clavatum* started cell survival inhibition. Both plant extracts showed a less cytotoxic effect on the primary NSCs with the IC_{50} value of 0.56 mg/ml (*H. serrata*) and 0.50 mg/ml (*L. clavatum*).

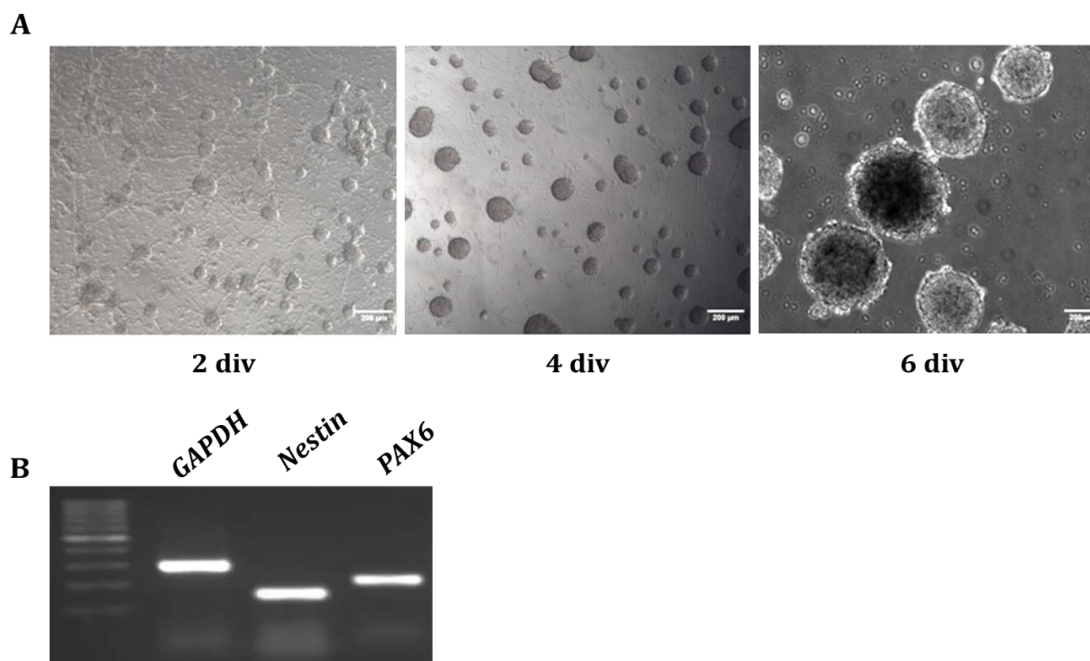


Figure 1 Identification of the mouse primary NSCs. A - Representative images of neuro-sphere in continuous culture at 2, 4, 6 days *in vitro*. Scale bar = 200 µm. B - The expression of neural stem cell marker genes, *Nestin*, *PAX6*, was determined by RT-PCR.

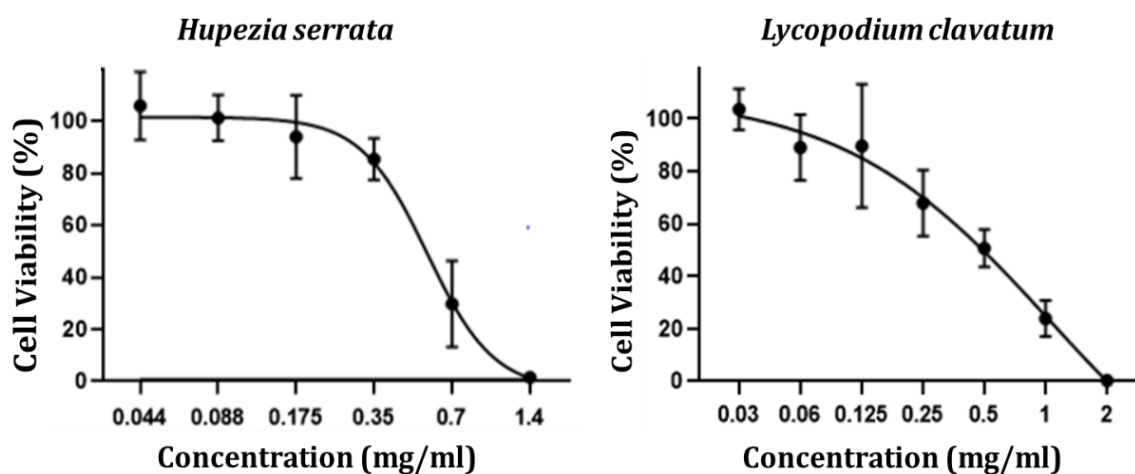


Figure 2 Toxicity effect of the Lycopodium alkaloid fractions on primary mouse neural stem cells after 48 h post-treatment. A - *Hupeziaserrata* IC_{50} = 0.56 mg/ml. B - *Lycopodium clavatum* IC_{50} = 0.50 mg/ml.

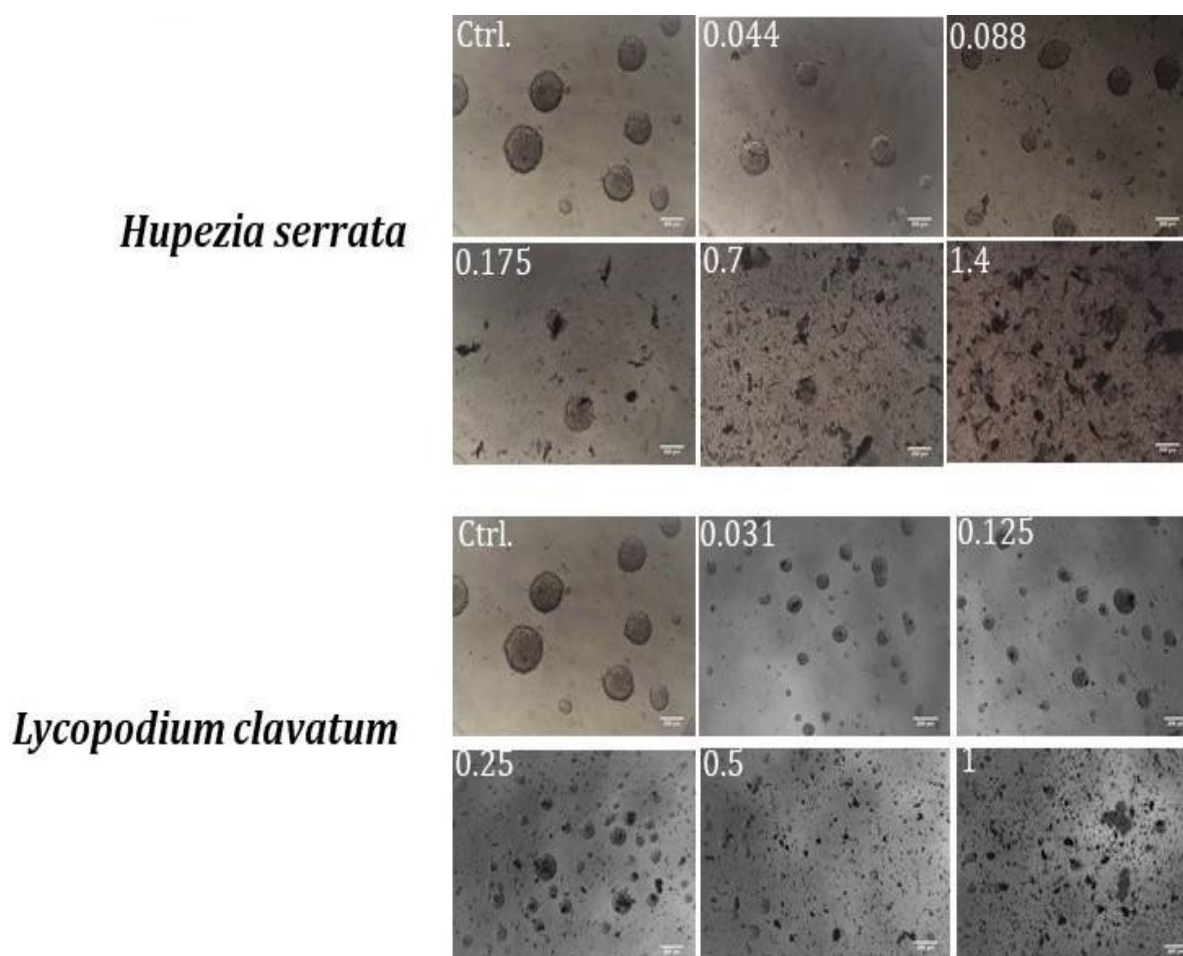


Figure 3 Images of the morphological changes induced by the alkaloid fraction of various concentrations of *H. serrata* and *L. clavatum* on the primary NSCs after 48 h post-treatment. The cells were observed by using a phase-contrast microscopy. Scale bar = 200 μ m.

To identify the effect of two alkaloid fractions, changes in cell morphology were also observed. The primary NSCs were exposed to multiple concentrations of alkaloid fractions of *H. serrata* and *L. clavatum* and observed under a phase-contrast microscope. 48h of post-treatment, the primary NSCs indicated the most prominent effects on both alkaloid fractions. Results presented in figure 3 showed that the number of cell death increased correspondingly with an increase of alkaloid fraction concentrations. At the lower concentration, 0.044 mg/ml of *H. serrata* extract and 0.031 mg/ml of *L. clavatum* extract, the neurospheres remained forming. Nevertheless, at the higher concentrations, the shape of the primary NSCs reduced in size, became shrunken, and broke into small pieces. Furthermore, at the highest concentration (1.4 mg/ml for *H. serrata* and 1.0 mg/ml for *L. clavatum*), the primary NSCs turned to black, completely fractured, and showed signs of detachment from the surface of the wells denoting cell death. Untreated primary NSCs (control) had no change in morphology.

3.2 Effect of Alkaloid fractions extracted from *H. serrata* and *L. clavatum* on the differentiation of NSCs

To identify bioactivities related to the differentiation of primary NSCs, we determined the effect of the alkaloid fractions under the differentiation condition. The primary NSCs were differentiated in the presence of DMSO or Lycopodium alkaloids extract dissolved in DMSO, and the morphology was observed on day 2 and day 6. Here, 5 and 10 μ g/ml of alkaloid fraction from *H. serrata*; 0.625 and 1.25 μ g/ml of alkaloid fraction from *L. clavatum* were added to the differentiation medium. These concentrations did not show any effect on the viability of primary NSCs in the differentiation medium. Similarly, no neuron cell morphological difference was reported between the control and treated cells on day 2 (Figure 4). However, after 6 days of post-treatment, the cells treated by *H. serrata* extract were in more density than control at both concentrations (5 and 10 μ g/ml). On the contrary, the cells treated with *L. clavatum* have less density as compared to the control at

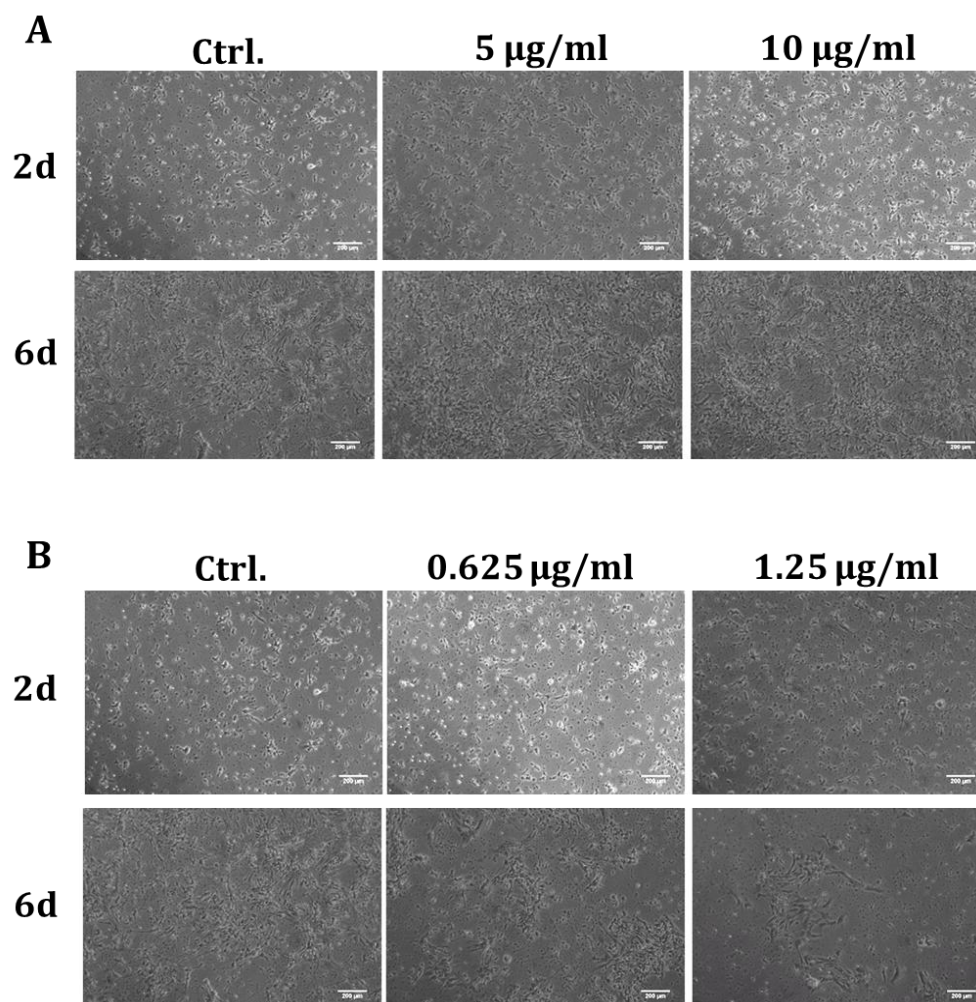


Figure 4 Lycopodium alkaloid fractions affect to differentiation of primary NSCs. A, B - Primary NSCs were exposed to alkaloid fractions from *H. serrata* and *L. clavatum* under the differentiation condition. The images were taken on day 2 and day 6. Scale bar = 200 µm

0.625 and 1.25 µg/ml. These results indicated that the alkaloid fraction of *H. serrata* promoted the differentiation of primary NSCs, while the alkaloid fraction of *L. clavatum* seemed to suppress the differentiation of primary NSCs.

3.3 Effect of Lycopodium alkaloid fractions on the expression of genes related to the differentiation of NSCs

Findings of the current study suggested that both alkaloid fractions from *H. serrata* and *L. clavatum* affect to differentiation of primary NSCs, the expression of marker genes of neural stem cells and neuronal cells were also determined in the current study. *Nestin* and *PAX6* genes were selected as markers for neural stem cells and *TUBB3* and *MAP2* genes were selected as markers for neuron cells. As shown in Figure 5A, the expression of *Nestin* and *PAX6* was reduced in treated cells compared to the untreated sample after 2 days of post-treatment and remained till

day 6. NSCs have the potential to differentiate into neurons and form a functional neural network. The differentiated neuronal marker *TUBB3* gene (early neuron) significantly increased after 6 days of post-treatment with alkaloid fraction from *H. serrata* extract. Moreover, the *MAP2* gene, a neuron-specific cytoskeletal protein also showed high upregulated on day 6 in comparison to the control sample. These results demonstrated that the alkaloid fraction from *H. serrata* treatment promotes NSCs differentiation to neurons.

In the case of primary NSCs exposed to alkaloid fraction from *L. clavatum* under differentiation condition, the expression of *Nestin* gene had no significant difference to control, and *PAX6* was increased on day 6. On the contrary, the expression of neuronal marker genes (*TUBB3* and *MAP2*) was decreased in comparison to control (Figure 5B). These data suggested that the alkaloid fraction from *L. clavatum* could maintain stemness.

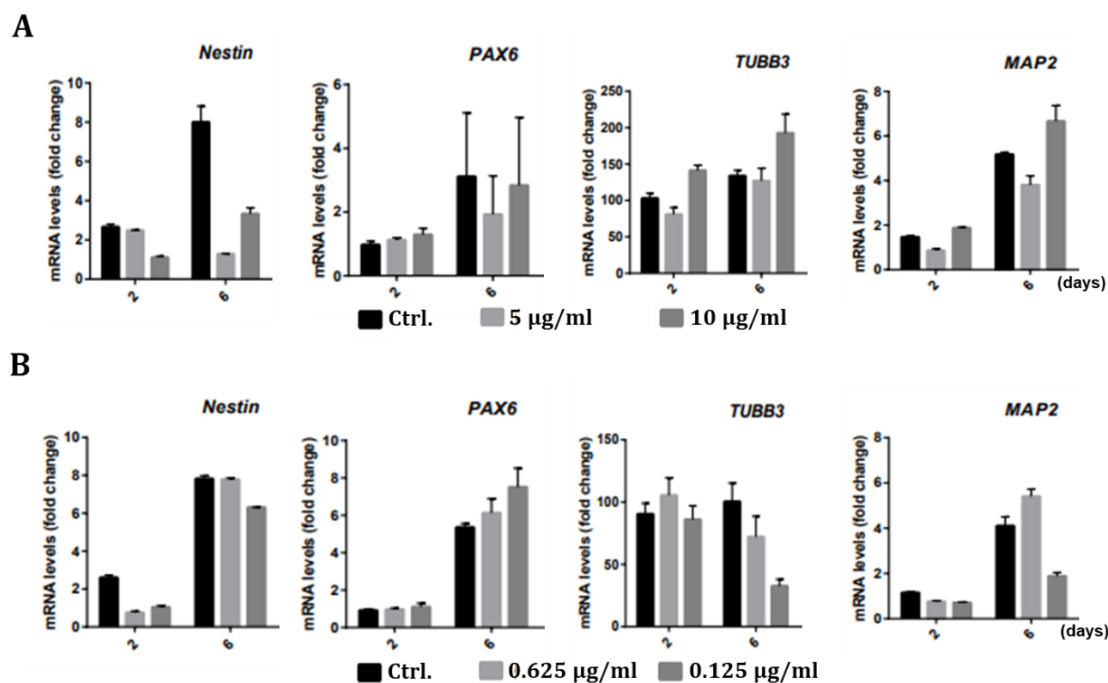


Figure 5 qRT-PCR analysis for mRNA expression levels of the Lycopodium alkaloid fractions affect the expression of genes related to differentiation of NSCs. A, B - mRNA levels of NSCs treated with alkaloid fraction of *H. serrata* and alkaloid fraction of *L. clavatum* were quantified by qRT-PCR. Data are presented as mean \pm SEM.

4 Discussion

In this study, we examined the effect of alkaloid fraction from two Lycopodiaceae collected in Vietnam on the primary NSCs. First, we evaluated the effect of these extracts on the morphology of primary NSCs and then determined the cytotoxicity when the cells were exposed to the plant extracts. We found that these extracts affect the primary NSCs on dose-manner. The value of IC_{50} of *H. serrata* and *L. clavatum* was 0.56 mg/ml and 0.50 mg/ml, respectively. This data showed a less cytotoxic effect of two lycopodium alkaloid fractions on the primary NSCs.

It has been reported that lycopodium alkaloids were used in the treatment of neurogenerative diseases such as Ma et al. (2013) demonstrated that Huperzine A promoted the proliferation of cultured mouse embryonic hippocampal NSCs. Huperzine A protects the neural stem cells against $A\beta$ -induced apoptosis in neural stem cells and microglia co-culture system by inhibiting cell apoptosis through restraining microglia's inflammatory response induced by $A\beta_{1-42}$ (Zhu et al. 2015). In Panama, the Lycopodiaceae family has been screened for their anticholinesterase inhibitory and antioxidant activities, and the results showed that only *L. clavatum* subsp. *clavatum* showed strong AChE inhibition (Calderón et al. 2013). α -onocerin was isolated from the chloroform extract of *L. clavatum* was shown as an acetylcholinesterase inhibitor (Orhan et al. 2003). Similarly,

Hanif et al. (2015) reported that *L. clavatum* on memory functions and cerebral blood flow in memory-impaired rats. There are several papers published about the bioactivities of Lycopodiaceae extract from Vietnam. Chuong et al. (2014) isolated six Lycopodium alkaloids from Vietnamese *H. squarrosa*, among them, lycosquarosine A and acetylposerratinine have shown strong AChE inhibitory activity (Chuong et al. 2014). Two other Lycopodium alkaloids were identified and named fawcettidine, and 12-epilycodoline N-oxide from *H. phlegmaria* was collected from Vietnam. The compounds showed moderately AChE inhibitory effects (Thu et al. 2019). However, there is a lack of study on the bioactivities of these Lycopodiaceae extracts on the NSCs and their differentiation. Here, under the differentiation condition, the primary NSCs were treated with *H. serrata* derived alkaloid fraction showed the capability into mature neurons as indicated by the increased gene expression level of early neuron *TUBB3* and neuron-specific cytoskeleton *MAP2* but not to neural stem cell marker *Nestin* and *PAX6* gene. On the other hand, the alkaloid fraction from *L. clavatum* showed opposite bioactivities in the differentiation condition. With increased neural stem cell marker genes and decreased differentiation neuron marker genes compared to control, *L. clavatum* alkaloid fraction potential played a role in stemness-maintaining function. Taken together, these results established that alkaloid fraction from *H. serrata* promoted differentiation of the primary NSCs to neurons, and *L. clavatum* extract had a capacity of stemness maintaining.

Conclusions

In summary, our study calculated that the value of IC₅₀ of *H. serrata* and *L. clavatum* was 0.56 mg/ml and 0.50 mg/ml, respectively. The lycopodium alkaloid from *H. serrata* showed the effect on the differentiation of NSCs to neuron cells, and the lycopodium alkaloid from *L. clavatum* has the potential to maintain the stemness of primary NSCs.

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Author's contributions

H.N.T. Tran, H.T.T. Nguyen, D.H. Nguyen, T.D. Nguyen performed the experiments. T.T. La and K.T.T. Nguyen collected the plants and identified them, Q.H. Nguyen and L.T. Nguyen critically revised the manuscript for important intellectual content. M.H.T. Hoang developed experimental concepts and designs, analyzed and interpreted data, and wrote the manuscript. All authors have read and agreed to the published version of the manuscript.

Conflict of interest

The authors declare no conflict of interest.

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