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### SELECTION AND IDENTIFICATION OF A NOVEL THERMOTOLERANT *Kluyveromyces marxianus* STRAIN FOR VALORIZATION OF LACTOSE WASTE INTO ETHANOL

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#### KEYWORDS

Lactose waste

Valorization

Consolidated Bioprocessing

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Ethanol yield

#### ABSTRACT

Sustainable production of ethanol as alternative renewable fuels, there is a need for a yeast strain which can convert the non-food substrate into ethanol economically. Extensive screening program for such yeast for bioethanol production was attempted from the diverge ecosystem. That resulted into isolation of a yeast strain, designated strain ETDLT1, which was capable of ethanol fermentation using lactose as carbon source even at elevated temperatures. Beside this the strain is ethanol tolerant up to 12 %. The lactose fermentation performance of selected ETDLT1 strain was evaluated. It was found to produce 28 g/l of ethanol with yield of 0.36 %. (p/s, g/g). In laboratory fermentation ETDLT1 strain found significant potential for its ability for bioconversion of dairy processing wastes rich in lactose into ethanol in single step fermentation. Therefore, it was further selected for thorough characterization. Results of characteristics confirm the ETDLT1 isolate as the strain of *Kluyveromyces marxianus*.

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## 1 Introduction

Sustainable and economical production of ethanol or alternative renewable fuels are becoming increasingly important due to the limited supply of fossil fuels and the environmental consequences associated with their consumption (Balat, 2011; Binod et al., 2010). Present attention has been focused on development of alternative feedstock for its bioconversion into ethanol using potential microorganisms e.g., plant biomass or non-food waste, into useful compounds including ethanol, has already been recognized. Intensive research is being carried out to establish robust and economically feasible processes for production of bioethanol (Wyman, 1999). However, initial complex pretreatment of such substrates for its conversion into fermentable sugars is key problem need to be addressed by cost effective process. Carbohydrate rich waste generated by food industries could be serves as alternative feed stocks for its bioconversion using microorganisms into commercially important products.

About 165 million tons of whey production is estimated worldwide. Out of which, about 95 % whey is contributed by cheese production. In India, chhana and paneer is major source of whey. Due to nutrient-rich nature, the whey has been emerged as alternative feedstock for microbial fermentations (Liu et al., 2016). Cheese whey, the main dairy by-product, is increasingly recognized as a source of many bioactive valuable compounds. Nevertheless, the most abundant component in whey is lactose (ca. 5% w/v), which represents a significant environmental problem. Technology is available to recover the protein from the whey, but, no adequate method is available for the utilization of whey lactose. Thus, it becomes necessitate to develop some strategy for valorization of whey lactose into marketable products. Due to the large lactose surplus generated, its conversion to bioethanol has long been considered as a possible solution for whey management (Guimaraes et al., 2010; Minakshi & Shilpa, 2012).

The search of microorganism that efficiently ferments lactose has a high biotechnological interest, particularly for cheese whey management with simultaneous bioethanol production. Yeasts, particularly *Saccharomyces* spp., are the most common ethanol producers employed in industry (Edgardo et al., 2008). The fermentation of whey lactose to ethanol, particularly using yeasts, has been frequently referred in the literature, since at least the 1940s (Whittier, 1944; Rogosa et al., 1947; Webb & Whittier, 1948). Although the yeasts that assimilate lactose aerobically are widespread, those that ferment lactose are rather rare (Fukuhara, 2006), including e.g. *Kluyveromyces lactis*, *K. marxianus*, and *Candida pseudotropicalis*. The conversions of the lactose in cheese whey or whey permeate into fuel ethanol represents an advantage of whey over food-related fermentation feed stocks, such as corn, for ethanol

production. Although, the viability of such bioprocessing is largely depends on its cost economics.

Ethanol fermentation is affected by temperature rises during hot climates. In India, ambient temperature of 40°C and above is common in summer months. This requires cooling to maintain the temperature, which is not yet economically viable. However, the problem could be alleviated by using thermotolerant strains of yeast, which could capable to grow and produced ethanol at elevated temperatures. Other than thermotolerance, broad substrate utilization ability, higher saccharification rate, ethanol yield and low energy requirement are the desirable traits for the successful exploitation of yeast for ethanol production (Dung et al., 2012; Kumar et al., 2013; Arora et al., 2015; Scully & Orlygsson, 2015). Therefore, the selection of the yeast strain is very crucial for bioconversion of different feedstock into ethanol. Present work was carried out to select an efficient thermotolerant yeast strain for valorization of lactose at elevated temperature into ethanol.

## 2 Materials and Methods

### 2.1 Isolation and primary screening of yeast

Yeast was isolated from the different habitats during screening program conducted for present study. Total of sixty seven samples were collected from food products as well as food industrial waste. The procured samples were used to isolate yeast using direct as well as enrichment method. Isolates obtained were screened out for its ability to produce ethanol, tolerance to elevated temperature and higher concentration of ethanol (Joshi et al., 2017).

### 2.2 Secondary screening for $\beta$ -galactosidase activity

Secondary screening of the selected yeast isolates based on its ability to produce  $\beta$ -galactosidase enzyme was carried out. The yeast isolates were activated by inoculating single colony in yeast extract peptone dextrose broth. Then it was incubated at 35°C for 30 h using shaker (120 rpm). Each of these activated isolates was streaked on lactose agar plates and incubated at 35°C for 48-72 h. Well grown colonies from lactose agar plate was inoculated in 2 ml of yeast extract peptone lactose medium containing 0.5 % yeast extract, 1 % peptone, 8 % lactose and pH 5.6 and incubated at 35°C overnight using orbital shaking at 120 rpm. Once the optimal growth was obtained, it was used for quantitative estimation of  $\beta$ -galactosidase activity using ONPG as substrate (Gupte & Nair, 2010). The unit activity of  $\beta$ -galactosidase enzyme was calculated using following formula:

$$\text{Units of } \beta\text{-galactosidase activity} = \frac{1000 \times \text{OD}_{420}}{V \times t \times \text{OD}_{600}}$$

V= the volume of cells (ml); t= the incubation time (min)

### 2.3 Evaluation of potential ETDLT1 strain for ethanol production from lactose

After screening and selection of thermotolerant and lactose fermenting yeast isolates, it was further evaluated for ethanol production using lactose as a sole source of carbon. Each isolated colony from lactose agar was inoculated in 100 ml yeast extract peptone lactose medium as inoculum medium in (250 ml) flask (Dhaliwal et al., 2011). Laboratory fermentation studies were conducted using this active culture. Twelve per cent inoculum was used for the fermentation. At an interval every 12 h the ethanol production and sugar consumption was studied during the fermentation. ETDLT1 strain was evaluated based on fermentation process efficiency and ethanol yield as described by Joshi et al. (2017).

### 2.4 Characterization of selected yeast isolate

Characterization of selected ETDLT1 isolate was carried out as described in *The Yeast: a taxonomic study*, 4<sup>th</sup>ed (Kurtzman & Fell, 1998). The morphological, biochemical and cultural characterization was followed by molecular characterization of 18 S rDNA sequencing. DNA of ETDLT1 isolate was extracted and purified for its sequencing (Maniatis et al., 1982). By using universal forward (1F-5') and reverse primer (4R-5') purified DNA was amplified and sequenced (Machida & Knowlton, 2012). Using BLAST tool of NCBI species level identification of the selected ETDLT1 isolate was carried out.

## 3 Results and Discussion

### 3.1 Isolation and primary screening of yeast

Extensive screening for the yeast with potential traits and capability to convert various cheaply available food processing waste or byproducts is attempted. This resulted into isolation of 165 isolates from 67 samples from the diverse ecosystem using direct isolation using YEPD as well as enrichment using YEPD supplemented with starch, cellulose, lactose. These isolates were studied independently for their ability to tolerate elevated temperature, ethanol tolerance and ethanol production ability.

Two main strategies for screening of yeast strain for bioethanol production were considered. The first one is based on traits of yeast that favors the process economics by improving the productivity. The second one was the ability of primary screened isolates for direct bioconversion of food processing waste into ethanol. Primary screening was carried out based on thermotolerant, ethanol tolerance and ethanol productivity followed by secondary search for the potential of the selected isolate for direct conversion of alternative sustainable sources of food processing waste, that would otherwise be

considered environmental pollutants into ethanol economically (Xue et al., 2013; Stankus, 2014). There are several reports for the utilization of cheese whey as a substrate for bioethanol production at industrial scale (Guimaraes et al., 2010) but present search is for the strain of yeast which can convert the lactose based dairy waste into ethanol in a single step at elevated temperature with improved process economics. Total of 165 yeast obtained from different ecosystem were primarily screened out based on their ethanol fermentation ability in the laboratory experiment. Results of fermentation revealed ethanogenic potential of 43 isolates. During industrial ethanol production, yeasts are exposed to various environmental stresses such as high temperature and high sugar concentrations. Cellular micro molecules are seriously damaged under stress conditions, which leading to inhibition of cell growth and fermentation. To avoid lethal damage, bioethanol industry requires the utilization of yeasts capable of working with stresses. Stress-tolerant yeasts are thought to naturally wide spread in nature (Tofighi et al., 2014). These 43 ethanogenic isolates were selected for further evaluation for their thermotolerance. The results shows 18 ethanogenic isolates to have temperature tolerance at 40°C and above. Eighteen isolates so far selected showing ethanogenicity and thermotolerance were further studied for their ethanol tolerance. The results shows isolates ETB1T, ETB2T, ETDLT1, ETSBT1, ETGS1, ETMT2, ETJT1 and ETHT1 were found to tolerate ethanol concentration more than 10%. Ambient temperatures in most sugar-cane producing areas necessitate the use of microorganisms which can grow and produce ethanol at temperatures above 40°C (Banat et al., 1992; Fleming et al., 1993; Barron et al., 1994). This is due to the energy savings achieved through the reduction of the expensive cooling and sterilization processes. Several reports revealed inhibitory effects and related problems in the fermentation of concentrated carbon, particularly slow fermentations and high residual sugar (Gawel & Kosikowski, 1978; Janssens et al., 1983; Vienne & von Stockar, 1985; Kamini & Gunasekaran, 1987; Grubb & Mawson, 1993; Dale et al., 1994; Silveira et al., 2005; Zafar et al., 2005; Ozmihi & Kargi, 2007). Main cause of these problems is osmotic sensitivity and low ethanol tolerance (Janssens et al., 1983; Vienne & von Stockar, 1985; Grubb & Mawson, 1993; Zafar et al., 2005). The extent of such effects seems to be strain-dependent, although the fermentation conditions may as well play a key role in this regard (Guimaraes et al., 2010). Because the low lactose content in food waste such as whey (4-5% v/v), osmotic sensitivity is not much important for the conversion of whey lactose into ethanol. However whey based medium required to be supplemented by lactose or concentration of whey lactose by ultrafiltration and/or reverse osmosis processes/distillation. However the thermotolerance and ethanol tolerance of the yeast are significantly improve the process economics. So far collected ethanogenic thermotolerant and ethanol tolerant yeast isolates were further studied for their different biochemical potential for the conversion of cheaper substrates into ethanol with lower economics.

### 3.2 Secondary screening of selected yeast for $\beta$ -galactosidase activity

Valorization of lactose waste particularly the large amount of whey generated in cheese production remaining after the coagulation of milk and removal of casein is an aqueous by-product (Spalațelu, 2012). The main components of whey include lactose, protein, lipids and mineral matter, with lactose (4.5-5%) being the major component (Kosikowski, 1979). Other components are protein, salts and vitamins present in minor amounts. Low concentration of these components makes their recovery uneconomical. Because of high organic contents dumping directly to environment causes serious contamination problem (Nahvi & Moeini, 2004). The high percent of organic content in whey increases its biological and chemical oxygen demand; make it a cost intensive process (Marwaha & Kennedy, 1988). The high lactose content in the whey can be valorized in to value added products by microbial fermentations (Sonawat et al., 1981). This kind of cheap substrate can be used to improve the economy of the process for the production of the ethanol using efficient strains of yeast which can beside ethanogenic, also able to split the lactose into fermentable sugar. For this conversion  $\beta$ -galactosidase activity is very much desired. Considering this final screening of selected isolates was carried out based on ability to produce  $\beta$ -galactosidase enzymes. Studies reveals out of these 8 isolates, ETDLT1, ETMT2, EHT1 and ETJT1 were found to show significant  $\beta$ -galactosidase activity necessary for lactose utilization. Upon the quantification of  $\beta$ -galactosidase enzyme, isolate ETDLT1 was found to produce maximum of 72.2 IU/ml. While other potential isolates ETMT2, ETHT2 and ETJT1 were found to produce 49.21, 41.07 and 36.51 IU/ml respectively. Based on the results ETDL1 isolate was found to be superior among the selected isolates as producing highest  $\beta$ -galactosidase thus selected for further studies. Overall extensive screening program revealed  $\beta$ -galactosidase production potential of ethanogenic strain ETDLT1 which is also thermotolerant as well as ethanol tolerant. ETDLT1 strain shown better prospects for the bioconversion of lactose containing food waste in to ethanol and therefore was selected for characterization for their identification and further evaluation of their ethanol production ability using lactose containing medium in shake flask studies.

### 3.3 Evaluation of potential ETDLT1 strain for ethanol production from lactose

Laboratory shake flask fermentation was carried out to evaluate suitability of selected isolate for the ethanol production using lactose as a substrate. ETDLT1 strain found most potential candidate amongst all studied, for CPB of lactose containing medium at elevated temperature. The fermentation profile of studies is showed in Figure 1. The studies shows production of 28 g/l of ethanol with yield of 0.36 ( $Y_{p/s}$ , g/g) by the ETDLT1 strain. The ability to grow and to

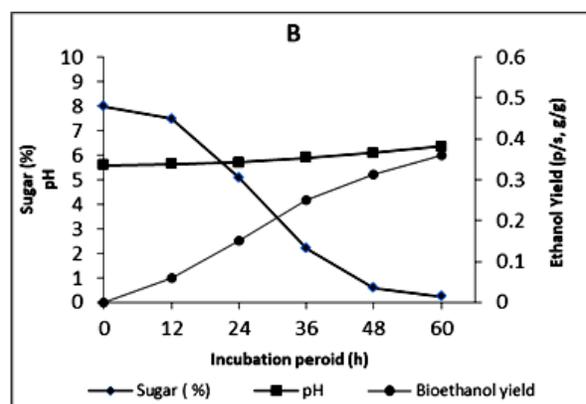


Figure 1 Fermentation kinetics of *K. marxianus* ETDLT1 using lactose as carbon source for bioethanol production

produce ethanol from the 8% lactose containing medium is important as such medium can be formulated using dairy processing whey waste which other has limited uses which makes it difficult to dispose of. The ETDLT1 strain has shown inability to grow well anaerobically indicates that the fermentative sugar metabolism is inhibited by oxygen. Such characteristics are typical of yeast cells exhibiting the negative CRABTREE. *Kluyveromyces* spp., a Crabtree negative microorganism well known for its biotechnological ability (Etschmann et al., 2002), possesses a natural ability to excrete pectinolytic enzymes and produce different aroma compounds, like fruit esters, carboxylic acids and alcohols (Scharpf et al., 1986; Welsh et al., 1989; Fabre et al., 1998; Leclercq-Perlat et al., 2004). It can grow on a wide variety of substrates and at elevated temperatures when exposed to excess sugar, under this conditions it also has higher specific growth rates and a lower tendency to produce ethanol. In contrast, the onset of fermentation in Crabtree-negative *K. marxianus* cells is not dependent on the sugar concentration, but is regulated by a decrease in oxygen levels (van Urk et al., 1990; Verudyn et al., 1992). The *K. marxianus* strain MTCC 1288 from crude cheese whey containing 35 g L<sup>-1</sup> of lactose at 34°C and pH maintained at 4.5 produced 2.10 g L<sup>-1</sup> of ethanol and 8.9 g L<sup>-1</sup> of cell mass (Zafar & Owais, 2006). The ethanol production from medium containing 50 g L<sup>-1</sup> of lactose by the same strain was 3.98 g L<sup>-1</sup> and cell mass reached 10.34 g L<sup>-1</sup>, in the same temperature and pH conditions (Zafar et al., 2005). Another studies reported ethanol concentrations of ca. 22 g L<sup>-1</sup> were found from whey containing ca. 44 g L<sup>-1</sup> lactose at elevated temperature (Sansone et al., 2011). Liu et al. (2016) reported a high titer of 41 g/L ethanol with the yield of 70 % of the theoretical maximum by using a low-cost medium containing whey permeate as carbon source and corn steep liquor hydrolysate as nitrogen source in combination with a fed-batch strategy. Considering these studies, selected *K. marxianus* ETDLT1 strain reveals significant attributes for bioconversion of whey based waste of the dairy industries which is otherwise difficult to manage, into ethanol in

single step fermentation. Looking to its prospects, it was selected for study to characterize for identification.

### 3.4 Characterization of selected yeast isolate

#### 3.4.1 Morphological characterization

Morphological characteristics of isolate ETDLT1 were studied. The morphological features are shown in Figure 2 A and summarized in Table 1. The size of ETDLT1 isolate was 5 to 12 µm in length and 0.8 to 4 µm in breadth. The cells normally occurred mainly in small clusters or occasionally singly.

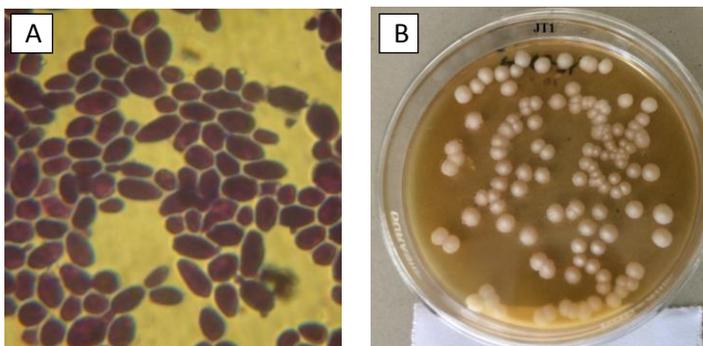


Figure 2A Morphological and B. Cultural characteristics of selected ETDLT1 isolate

#### 3.4.2 Cultural characterization

The colony of ETDLT1 isolate is whitish creamy in color, large in size, opaque, butyrous in texture with raised elevation (Figure 2 B). The cultural characteristics are shown in Table 1.

#### 3.4.3 Biochemical characterization

Biochemical properties were determined for selected ETDLT1 isolate. The strain was found to utilize D-glucose, D-galactose, D-raffinose, Lactose and D-sucrose. Negative assimilations and fermentations were observed for maltose, melibiose, raffinose, inositol and trehalose. It is capable of utilizing L-lysine but nitrate, and urea could not be used as nitrogen source. Vigorous growth was observed at 35°C.

#### 3.4.4 Molecular Characterization of selected isolate

ETDLT1 has shown 99% homology with the *K. marxianus* using online BLAST tool of NCBI. It reveals that ETDLT1 isolate is novel strain of *K. marxianus* yet been not reported in public domain. Further phylogenetic analysis of *K. marxianus* ETDLT1 with the other available sequenced genotype is shown below in the form of tree dendrogram (Figure 3). Upon submission of sequin generated file to Gene bank KU173546 accession number is assigned to this newly isolated and selected strain of *K. marxianus*.

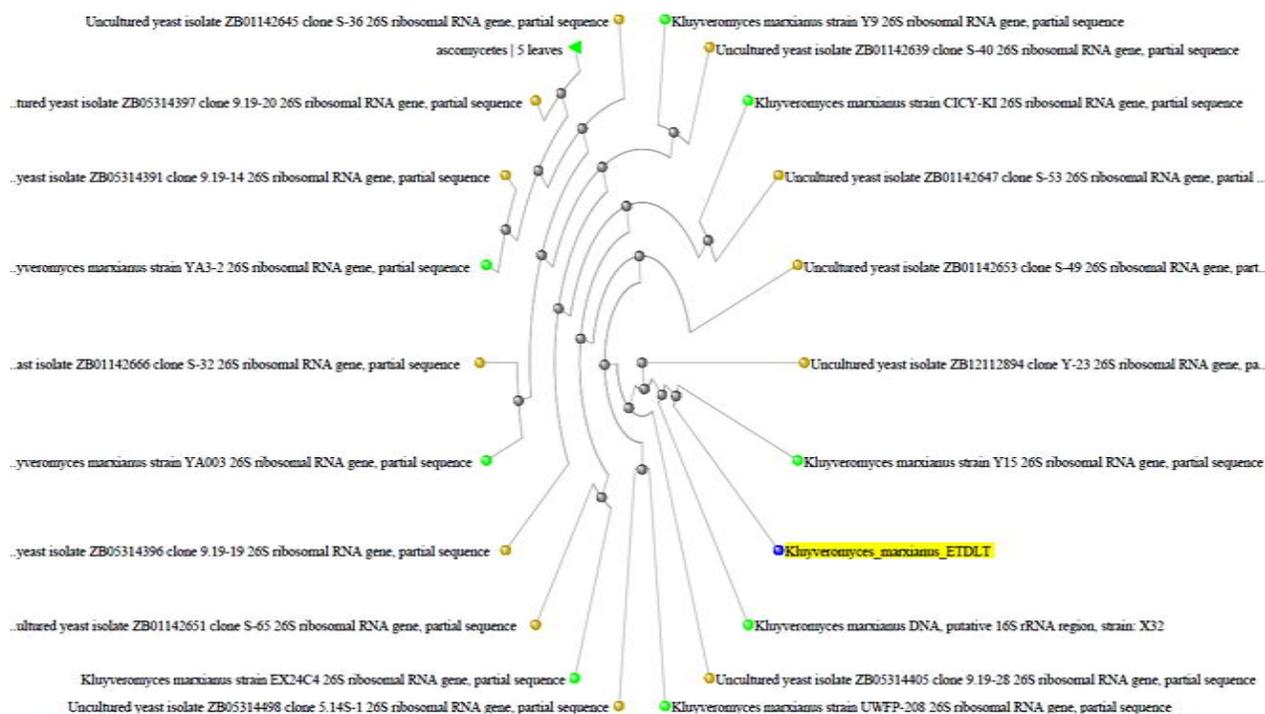
### Conclusion

Valorization of lactose waste into ethanol is an interesting option for cheese wastewater management. Among these value-added products, ethanol has been recognized as a strategic product considering the rising costs of fossil fuels and greenhouse gas emission. Present extensive screening revealed thermotolerant strain of *K. marxianus* with potential to produce ethanol at 35 and 40°C. The studies revealed this newly isolated strain of *K. marxianus* as a potential

Table 1 Characterization of selected ETDLT1 isolate

Morphological characteristics	
Size	Large
Shape	Elongated
Arrangement	Single or cluster
Cultural characteristics	
Size	Large
Shape	Round
Margin	Entire
Texture	Butyrous
Elevation	Raised
Opacity	Opaque
Colour	Whitish Creamy
Biochemical characteristics	
<b>Assimilation of Carbon;</b>	
Glucose	+
Galactose	+
Maltose	-
Melibiose	-
Trehalose	-
Mannose	+
Raffinose	-
Sucrose	+
Lactose	+
Starch	-
Inositol	-
<b>Assimilation of Nitrogen;</b>	
Peptone	+
Yeast Extract	+
Ammonium Sulphate	+
Asparagine	+
Nitrate	-
L-lysine	+
Urea	-

+positive; -negative



**Figure 3** Distance tree of query sequence for *K. marxianus* ETDLT1 with available reference sequence in circular lay out form.

candidate to develop a cost-effective bioconversion process that can turn waste products from the dairy industry (whey) into value-added ethanol which has immediate potential for commercialization.

#### Conflict of Interest

Authors would hereby like to declare that there is no conflict of interests that could possibly arise.

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